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Approved for use through 10/31/02. OMB 0651-0032

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Under the Paperwork Reduction Act of

Attorney Docket No. 514542000900

UIILIIY		-4	Page C MILLIAMS								
PATENT APPLICATION	First Inve		Roger O. WILLIAMS								
TRANSMITTAL	Title	APPARATUS LIQUID IN A V	AND METHOD FOR CONTROLLING THE FREE SURFACE OF VELL PLATE								
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Mail Label No									
CERTIFICATE OF MAILING BY "EXPRESS MAIL"											
Express Mail Label No.: EL569253895US Date of Deposit: November 5, 2001											
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service											
under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.											
Tamara Alcaraz											
Assistant Commissioner for Patents											
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application of	ontents.	A	ADDRESS TO: Box Patent Application Washington, DC 20231								
1. E Fee Transmittal Form (e.g. PTO/SB/17) (w/copy) - 2 F	PAGES	7. 🔲	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)								
2 Applicant claims small entity status.		8.	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)								
- See 37 GFK 1.21.	3 ]	a.	Computer Readable Form (CRF)								
- Descriptive title of the invention		b.	Specification Sequence Listing on:								
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix			i. □ CD-ROM or CD-R (2 copies); or ii. □ paper								
		c.	Statements verifying identify of above copies								
Background of the Invention     Brief Summary of the Invention     Brief Description of the Drawings (if filed)			ACCOMPANYING APPLICATION PARTS								
- Detailed Description		9.	Assignment Papers (cover sheet & document(s))								
- Claim(s) - Abstract of the Disclosure		10.	37 CFR 3.73(b) Statement Power of Attorney (where there is an assignee)								
4. X Drawing(s) (35 USC 113) [Total Sheets ]	14 ]	11.	English Translation document (if applicable)								
5. Oath or Declaration [Total Pages ]	ı	12.	Information Disclosure Statement Copies of IDS (IDS)/PTO-1449 Citations								
a. Newly executed (original or copy)		13.	Preliminary Amendment								
b. Copy from a prior application (37 CFR 1.6	3(d))	14. 🔀	14. Return Receipt Postcard (MPEP 503) Should be specifically itemzed)								
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor	or(s) named in the	15. 🔲	15. Certified Copy of Priority Document(s)  (if foreign pnonty is claimed)								
prior application, see 37 CFR 1.63(d)(2) ani	u 1 33(D)	16. 🔲	Peguest and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant								
6. 🗷 Application Data Sheet. See 37 CFR 1.76 - 2 PA		17.	Other								
	and supply the I	equisite informat	tion below and in a preliminary amendment, or in an Application Data Sheet								
under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continua	ation-in-part (CIF	P) of pric	or application No:								
Prior application information.			/ Art Unit								
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the accompanying continuation or divisional application and	ne prior application is hereby incorpo	on, from which an orated by referenc	oath or declaration is supplied under Box 5b, is considered a part of the e. This incorporation <u>can only</u> be relied upon when a portion has been								
inadvertently omitted from the submitted application parts.		ONDENCE A									
Customer Number or Bar Code Label	CONTRACT		or Correspondence address below								
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	PATENT TRADEMARK OFFICE										
	nsert Customer N	o. or Attach bar coo	le label here)								
Name Richard R Batt 755 Page Mill Road											
Address		01-15	zip Code 94304-1018								
City Palo Alto  Country United States	Tele	State Californi phone 650-813									
- COMMAN - C		1	on No. (Attorney/Agent) 43,485								
Name (Print/Type) Richard R. Batt Signature	311	1 . 109101.00	Date November 5, 2001								

PTO/SB/17 (09-00)

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## **FEE TRANSMITTAL FOR FY 2002**

-		Complete if Known	
	Application Number	To Be Assigned	
	Filing Date	Herewith	
	First Named Inventor	Roger O. WILLIAMS	
	Examiner Name	To Be Assigned	
	Group Art Unit	To Be Assigned	

Patent fees are subject to annual revision.

TOTAL AWOUNT OF PATWIENT			(\$)1,616 Attorney Docket No 514542000900						
METHOD OF PAYMENT			FEE CALCULATION (continued)						
1. X The Commissioner is hereby authorized to charge indicated			3. ADDITIONAL FEES						
Deposit Account Number  Number	ents to:	Large Fee	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee De	escription	Fee Paid	
Account Name Morrison & Foerste	er LLP	105	130	205	65	Surcha	ge - late filing fee or oath		
Charge Any Additional Fee Requir	red Under 37 CFR 1 16 and 1 17		50	227	25	Surchai	ge - late provisional filing		
Applicant claims small entity status See 37 CFR 1 27		139	130	139	130		over sheet glish specification		
2. Payment Enclosed:			2,520	147	2,520	For film	g a request for ex parte		
☐ Check ☐ Credit Card ☐	Money Order ☐ Other	147	920*	112	920*		ting publication of SIR prior		
FEE CALCULATION			1,840*	113	1,840*	Reques	iner action string publication of SIR after		
1. BASIC FILING FEE			110	215	55	Examiner action  Extension for reply within first month			
		115 116	400	216	200		on for reply within second		
	Fee Description	117	920	217	460		on for reply within third		
Code (\$) Code (\$)	Fee Paid	118	1,440	218	720		on for reply within fourth		
	Utility filing fee 370	128	1,960	228	980	Extensi	on for reply within fifth month		
	Design filing fee	119	320	219	160		of Appeal		
. <b>4</b> /	Plant filing fee Reissue filing fee	120 121	320 280	220 221	160 140	_	brief in support of an appeal it for oral hearing		
	ı H					•	to institute a public use		
114 160 214 80	Provisional filing fee	138	1,510	138	1,510	proceed	ding		
		140	110	240	55		to revive - unavoidable	$\vdash$	
SUB	TOTAL (1) (\$) 370	141	1,280	241	640	Petition	to revive - unintentional		
2. EXTRA CLAIM FEES	200	142	1,280	242	640	Utility is	ssue fee (or reissue)		
Extra Claims	Fee from Fee Paid below	143	460	243	230	Design	issue fee		
Indonandant	x 9 = \$1,116	144	620	244	310		sue fee		
Independent 9 - 3** = 6 Claims	x 42 = \$252	122	130	122	130		s of the Commissioner		
Multiple Dependent = \$140		123	50	123	50	Petitions related to provisional applications			
		126	180	126	180	Disclos	sion of Information ure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)	Fee Description	581	40	581	40	per pro propert			
103 18 203 9	Claims in excess of 20	146	740	246	370	rejection (37 CF	R § 1 129(a))		
102 84 202 42	Independent claims in excess of 3	149	740	249	370	examin	ch additional invention to be ed (37 CFR § 1.129(b))		
104 280 204 140	Multiple dependent claims, if not paid	179	740	279	370	Reque: (RCE)	st for Continued Examination		
109 84 209 42	**Reissue independent claims over original patent	169	900	169	900		st for expedited examination sign application		
110 18 210 9	**Reissue claims in excess of 20 and over original patent	Otherford	(anarifi)						
SUBTOTAL (2) (\$) 1,508			Other fee (speafy)						
** or number previously paid, if greater; For reissues, see above.			*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$) 0			
SUBMITTED BY							Complete (if applicable)		
Name (Print/Type) Richard R. Batt			Registration No. (Attorney/Agent) 43,485 Telephone (650) 813-56			3-5616			
Signature		Date November 5				er 5, 2001			

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